

Attorney Docket No. 3001 P 016

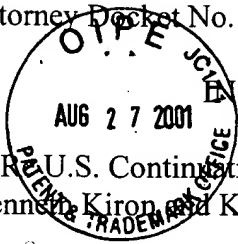


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PATENT TRADEMARK OFFICE

PATENT

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re U.S. Continuation Patent Application Of:
Kenneth Kiron and Kevin Bander

Application No. 09/843,278
Confirmation No. 9675
Filed: April 24, 2001

For: Open End Mutual Fund Securitization Process

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) Group Art Unit: 2165
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) Examiner:
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Commissioner For Patents
Washington, D.C. 20231

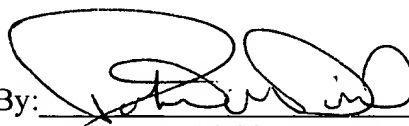
**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
WITHIN THREE MONTHS OF FILING OR
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Applicant submits herewith Form PTO-1449 and a copy of the references listed thereon.

Since this Information Disclosure Statement is being filed within three months of the filing date of the Application or before the mailing date of a First Office Action on the merits, whichever event occurs last (37 C.F.R. § 1.97(b)), no certification or fee is due. The Commissioner is hereby authorized to charge any deficiency in fees to Deposit Account No. 23-0280. A duplicate copy of this paper is enclosed for that purpose.

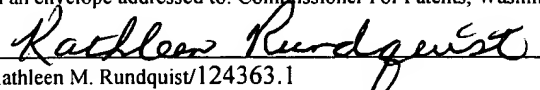
Respectfully submitted,

Dated: Aug 22, 2001

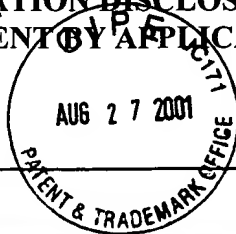
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CERTIFICATE OF MAILING (37 C.F.R. § 1.8a)

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Kathleen M. Rundquist/124363.1

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
PTO-1449



W&W File No.: 3001 P 016
Application No.: 09/843,278
Applicant: Kiron et al.
Filing Date: April 24, 2001
Art Unit: 2165

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U.S. PATENT DOCUMENTS

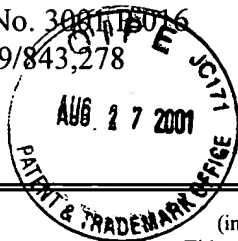
Examiner Initial	U.S. Patent Document No.	Name of Patentee or Applicant	Class/ Subclass	Date of Publication
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OTHER PRIOR ART OR NON-PATENT LITERATURE DOCUMENTS

Examiner Initial	(including Author (in capital letters), Title of the article, Title of the item, Date, Pages, Volume-Issue number, Publisher, City and/or Country where published.)	T
	SPIR Trust Series 1, Application for Orders Under Section 6(c) of the Investment Company Act of 1940.	
	SPIR Trust Series 1, First Amended and Restated Application for Orders Under Section 6(c) of the Investment company Act of 1940.	
	Form S-6 (EL24) for registration under the Securities Act of 1933.	



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	Amendment No. 1 to Form S-6 (EL24A) for registration under the Securities Act of 1933.	
	Amendment No. 2 to Form S-6 (EL24C) for registration under the Securities Act of 1933.	
	Amendment No. 3 to Form S-6 for registration under the Securities Act of 1933.	
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	Dialog Abstract: File 16, Acc# 03222677; Prime Asset Mgmt; <i>Pensions & Investments</i> ; May 20, 1991; p. 69.	
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Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.